

Disclosure of Sales Related Information

披露銷售相關資料

When First Shanghai Securities Limited (“FSSL”) acts as a distributor of funds products, FSSL would like to notify the clients the following related information 當第一上海證券有限公司(“第一上海”)作為基金產品的分銷商, 第一上海通知客戶以下相關資料:

(i) Capacity: Agent

身分: 代理人

(ii) Affiliation of FSSL to the product issuer: no

與產品發行人的聯繫: 沒有

(iii) Disclosure of monetary and non-monetary benefits: FSSL receives commission from the product issuer or its agent up to 5% of investment amount upon each subscription and up to 0.6% of asset value annually for the relevant funds products. The amount of such commission may vary from time to time depending on the nature and size of transaction, market demand and condition.

披露有關金錢收益及非金錢收益的資料: 第一上海從產品發行人或其代理收取佣金, 每次認購時是最高至投資金額的5%, 每年是最高至有關基金產品的資產價值的0.6%。有關的佣金數額可能不時變動, 須視乎交易的性質及大小, 市場需求及市況而定。

(iv) Terms and conditions in generic terms under which client may receive a discount of fees and charges from FSSL: NA

概括地說明持牌人或註冊人向客戶提供費用及收費折扣的條款及細則: 不適用